



## FINANCIAL SERVICES PROVIDER AUTHORISATION

1. I appoint the Financial Adviser named in this form as my Financial Adviser and authorise STANLIB to amend their records accordingly.
2. I understand that according to the Financial Advisory and Intermediary Services Act, 2002 ("FAIS"), the Financial Adviser must be mandated by a Licenced Financial Services Provider ("FSP") as a representative with the necessary FAIS sub-categories to act on my behalf and that it is also my responsibility to determine whether my Financial Adviser has the necessary authorisation. (Financial Services Board (FSCA toll-free number: 0800 110 443).
3. I understand and confirm that this document merely serves as a notification to STANLIB to record the details of my Financial Adviser. Further that I have entered into a separate mandate with my Financial Adviser that stipulates under what circumstances my Financial Adviser may interact on my behalf with STANLIB.
4. I indemnify STANLIB against all losses or damages, which I may sustain, as a result of transaction entered into on the basis of this delegation of authority by me to the Financial Adviser.
5. Where I have terminated my Financial Adviser's appointment it is my responsibility to advise STANLIB of such termination immediately. On receipt of such written notification, STANLIB will cease payment of all charges, other than accrued charges, to the Financial Adviser. I agree that STANLIB will pay to my Financial Adviser the agreed charges as set out in this Application Form.
6. I acknowledge that if a Financial Adviser is not mandated as required by the FSB, STANLIB is obliged by law to decline any instructions from such Financial Adviser. Also that STANLIB may and will accept instructions on the strength of my signature.
7. I acknowledge that the portfolio range of funds is only available to clients whose Financial Adviser is accredited to sell a specific range. Where a client terminates the services of an accredited Financial Adviser and appoints a Financial Adviser who is not accredited to sell the same range of funds, the Client will immediately be moved from the current class fund/s to an available class fund/s linked to the new Financial Adviser. I acknowledge and accept that in such move, a Capital Gains Tax (CGT) event may occur.

## FINANCIAL SERVICE PROVIDER CHARGES

**New Investments:** Ongoing Financial Adviser Fees are permitted, please specify the percentage you have negotiated with your Financial Adviser, if applicable. Our Maximum Ongoing Financial Adviser Fee rules apply.

Account Number	Fund Name	Ongoing Financial Adviser Fee (excl. VAT)
		%
		%
		%
		%

## INVESTOR DECLARATION

1. I/We acknowledge that I/We provide consent to STANLIB to collect, process, store, disclose and share my Personal Information for the purpose of servicing my investment.
2. I/We agree to provide all documentation and information requested in this document and further required by law and consent to STANLIB processing my information for the purposes stipulated within the Terms and Conditions.
3. I/We confirm that all information provided herein is true and correct and that I/We have read and understood the contents of this form.
4. I/We acknowledge and accept that the information contained in this form and information about the Account Holder may be provided to SARS. Further, that SARS may also exchange the information with the tax authorities of another country or countries in which the Account Holder may be tax resident.
5. By signing this form, the client consents to STANLIB processing their personal information in accordance with the terms and conditions.
6. I/We hereby authorise the Manager to repurchase participatory interests from my investment account on a monthly basis, calculated as per the method prescribed in the new business terms and conditions and pay this amount over monthly to Financial Adviser, into an account nominated by Financial Adviser in writing from time to time.

**If the information you have provided in this form changes in future, please submit a new form within 30 days. If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.**

SIGNATURE OF CLIENT /  
AUTHORISED SIGNATORY \*

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT

CAPACITY

SIGNATURE OF FINANCIAL ADVISER

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT



## TERMS AND CONDITIONS

- 1. Trustees:** Standard Chartered Bank, 2nd Floor, 115 West Street, Sandton, 2196, Telephone: (011) 217 6600.
- 2. Customer Service Queries:** You can contact our Customer Service team to log a query by visiting our website on [www.stanlib.com](http://www.stanlib.com) or send all queries to [contact@stanlib.com](mailto:contact@stanlib.com) or contact our contact centre on 086 123 003.
- 3. Complaints:** Should the you need to lodge a complaint with STANLIB regarding the services being provided, you can log on to [www.stanlib.com](http://www.stanlib.com) to submit a complaint or alternatively send complaints to [complaints@stanlib.com](mailto:complaints@stanlib.com).
- STANLIB is a member of the Liberty Group of companies. Liberty is a full member of the Association for Savings & Investments South Africa (ASISA).
- This application form and the relevant trust deed in respect of the STANLIB Collective Investments Scheme will form the agreement between the Client and the Manager. The investment will be administered in terms of the Collective Investment Schemes Control Act, 45 of 2002 (The Act).
- 6. Contact details:** STANLIB Collective Investments (RF) Proprietary Limited Reg. No. 1969/003468/07. 17 Melrose Boulevard, Melrose Arch, 2196 | P O Box 202, Melrose Arch, 2076; T + 27 (0)11 448 6000 | F 086 727 7505/+ 27 (0)11 448 6666; E [contact@stanlib.com](mailto:contact@stanlib.com) | W [www.stanlib.com](http://www.stanlib.com).
- 7. FAIS Ombudsman details:** Block B, Sussex Office Park, 473 Lynwood Road, Pretoria, 0081. Telephone 012 470 9080.
- 8. Electronic Transactions:** the Client agrees that the Manager shall be entitled to implement all instructions and applications of whatever nature received on their Internet site, by telephone, or any other electronic medium and which appear to emanate from the Client. The Financial Adviser and/or Manager is indemnified against any losses, claims or damages arising from acting on such instructions and/or applications, notwithstanding that it may later be proved that any such instruction was not given by the Client. The Client agrees that the electronic records of all instructions and applications processed by/or on behalf of him/her or which purport to be processed on behalf of the Client via the Manager's Internet site, telephone or any other electronic medium shall constitute prima facie proof of the contents of such instructions and applications.
- 9. Processing of personal information :** It is important to us that you understand how and why we obtain, use, process, store, verify and share (collectively "process" or "processing" as defined in POPIA) your personal information.

STANLIB will only process your information for the following purposes:

- 9.1. To provide you with products and services;
- 9.2. To manage and administer your investments;
- 9.3. To communicate with you and/or your financial adviser;
- 9.4. To comply with your instructions or the instructions of your financial adviser;
- 9.5. To monitor and/or record telephone calls and electronic transactions with you (including the collection of your biometric data where necessary) in order to accurately carry out your instructions or those of your financial adviser and for your protection.
- 9.6. For payment processing for services providers, merchants, banks and other persons that assist with the processing of your payment instructions;
- 9.7. To provide your information to an entity within the Standard Bank Group, including its subsidiaries and affiliates, for the purpose of improving our business and services or the business and services of the Standard Bank Group;
- 9.8. To provide relevant information to a contracted third party who requires the information to provide a service to you for your investment;
- 9.9. To send your information to the Financial Services Exchange (Pty) Ltd trading as Astute where this is necessary to fulfil our servicing obligations and/or where your financial adviser has instructed us to do so.
- 9.10. To send your information to a third party to perform verification checks on the information provided by you to STANLIB. .
- 9.11. To assist in enhancing our services and your client experience;
- 9.12. For analysis in order to assess and improve our business and services or the business and services of the Standard Bank Group;
- 9.13. To verify your identity;
- 9.14. To detect and prevent fraud or money laundering;
- 9.15. To comply with laws and public duties;
- 9.16. In the interests of security and crime prevention;
- 9.17. For operational, marketing, audit, legal and record keeping purposes;
- 9.18. To transfer your personal information outside the borders of the Republic of South Africa where this is necessary to fulfil our servicing obligations. Where your personal information is transferred offshore, STANLIB confirms that adequate measures are in place to ensure the protection of your personal information and shall transfer your personal information offshore in accordance with the applicable requirements for trans-border information flow in terms of POPIA
- 9.19. To provide your information to industry registers such as ASISA, and contracted third parties, such as tracing agents, attorneys, debt collectors and other persons that assist with the enforcement of agreements;
- 9.20. To provide your information to regulatory authorities, governmental departments, local and international tax authorities and other persons that STANLIB under the law has to share your information with;
- 9.21. To provide your information to persons to whom STANLIB cedes its rights or delegates its obligations to under agreements; and;
- 9.22. If we become involved in a proposed or actual merger, acquisition, or any form of sale of assets, we may use and disclose your information to third parties in connection with the evaluation of the transaction. The surviving company, or the acquiring company in the case of a sale of assets, would have access to your information, which would continue to be subject to these terms.

We will take reasonable steps to ensure that your information is kept secure and confidential. We will ensure that a third party that we share your information with agrees to keep your information confidential and appropriately secured. We will keep your information until such time as we are compelled to delete it, as prescribed by applicable law.

We undertake solely to collect and process your information as permitted by law. If you feel we have not done so, you have the right to object. You have the right to access, correct and delete the personal information that is held about you.

To object to the processing of your personal information by STANLIB or correct, delete, or obtain a copy of the personal information STANLIB holds about you, you may email us on: [contact@stanlib.com](mailto:contact@stanlib.com) or write to STANLIB's Information Officer at: P O Box 202, Melrose Arch, 2076. or alternatively, you can email STANLIB's information officer at [privacy@stanlib.com](mailto:privacy@stanlib.com).

